

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application No.:	Filed:	Inventor(s):	Atty. Dkt.:
09/828,519	04/06/2001	Andrew W. Lo, et al.	1869-003A
Title: DATA PROCESSOR FOR IMPLEMENTING FORECASTING ALGORITHMS			Examiner:
			not assigned
			Art Unit: 2171

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION--FILING DATE GRANTED

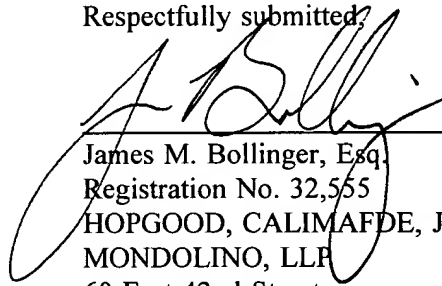
Sir:

Enclosed for filing in the above matter are the following:

1. Declaration and Power of Attorney executed by Andrew W. Lo, Harry Mamaysky, and Jiang Wang;
2. A copy of the Notice to File Missing Parts of NonProvisional Application mailed on June 5, 2001;
3. Our check for \$130.00, for a non-small entity, in payment of the surcharge for late filing.

Please charge any additional expenses to Deposit Account No. 08-2776.

Respectfully submitted,


James M. Bollinger, Esq.
Registration No. 32,555
HOPGOOD, CALIMAFDE, JUDLOWE &
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Dated: July 10, 2001

I hereby certify that this correspondence is being deposited
with the United States Postal Service on July 10, 2001,
as First Class Mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C.
20231.


Rosann Macchio



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,519	04/06/2001	Andrew W. Lo	1869-003A

CONFIRMATION NO. 6245

FORMALITIES LETTER



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James M. Bollinger, Esq.
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60 East 42nd Street
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Date Mailed: 06/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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